



Marjorie Miller <marjiemiller@gmail.com>

text of SEC complaint filed at SEC website

1 message

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To: Alan Hamilton <ecologicalal@gmail.com>, Marjorie Miller <marjiemiller@gmail.com>

Alan,

They said it would take 15 minutes to fill out. It took 2 hours. But we got it done!!! :)!!! :D!!!

Marjie o.o!

text of SEC complaint filed at SEC website:

First of all, thank you for telling us you were going to send a copy to the firm. We will not include the login information to the website with the evidence. When we were initially looking online in 2010 for which government agency to submit the complaint to, we chose FINRA, because it had the name "Financial Regulatory" in the name, and their website said if they were not the right agency, they would forward our complaint to the correct agency. The idea of a single government portal sounded efficient. It has been anything but. We had no idea that FINRA was actually the corrupt "Madoff-coddling" NASD renamed. Congress stabbed consumers in the back by renaming the NASD to what appears to be a government agency name. Basically put the "Sheriff" sign over the Horse Thieves den.

On Dec 31, 2010 we submitted a complaint #1442381 to FINRA. FINRA did nothing, no investigation, and actually did harm instead. FINRA sent a copy of our complaint to Davila, with the login info to the evidence website, and Davila logged in and took what he wanted of our private investigation documents on a private website with a login for approved investigators only.

Upon our complaining about the breach of security and asking for copies of documents received from Davila, since he was being "cc:ed" on all our communication, FINRA then said the investigation was "confidential" and would give us nothing. On the initial phone call FINRA investigator Suddeth said he would "box Davila into one story". We suggested he do that in writing as Davila's story changes to fit the day and the evidence presented.

After about 8 months, we asked for what story Suddeth had "box Davila into in writing" and the above mentioned security breach of the evidence and why cc:Davila was appearing on communication to us, but we were not being cc:ed on communication to Davila. We asked that the investigation be transferred to the SEC.

Instead of giving us this information or answering our questions on whether they planned on giving the new FINRA password to Davila as well, or transferring the investigation to the SEC as we requested, FINRA sent us a letter saying they were closing the investigation, "until they got more information", with 300 documents at the evidence website that they never bothered to look at (website visitors tracked and saved). The FINRA "investigation closure" letter specifically says it "has no evidentiary weight in any mediation, arbitration, or judicial proceeding", yet Davila now is using this FINRA "investigation closed" letter to give to TDI and other investigations, to say he's already been investigated by FINRA and the investigation was closed, when no investigation ever happened.

FINRA set our case back by a year with their "sham investigation", and not forwarding to other agencies as promised, as well as the other damage done mention above.

In 2012, we then sent a complaint to TDI, Texas Department of Insurance, whose website said they would contact us before contacting the person we were complaining about. They did not, sent everything to Davila, including the password we assume. TDI never even logged into the evidence website but sent a letter saying they had completed their investigation and included Davila's replies to TDI and FINRA (which FINRA never gave us) and Mutual of Omaha's replies.

TDI incredulously then said they didn't handle fraudulent DPOAs, theft of insurance products thru identity fraud,

and that we should file a lawsuit. So we then found an attorney finally that wanted \$7500 supposedly to do it, and now is up to \$20,000, and we are running out of money living at poverty level due to the embezzlement which has already been admitted to by the embezzler, as well as the money laundering.

This is David vs Goliath and we cannot fight Goliath alone. That's what government agencies are supposed to help the "little people" with. Davila's attorneys sent our attorney 205 pages of "interrogatories" for US, which our attorney charged us \$500 to receive by fax and then re-send to us. Davila's attorneys plans are to break us financially and threaten us with slander and libel lawsuits if we tell anyone about what happened, including government agencies. So then they are free to do it again to the next client and no one's allowed to know that this isn't the first time this has happened.

On that note, Davila previous 1998 FINRA investigation listed in the "Broker-Dealer check" on the FINRA website has same M-O as ours. Missing money with no explanation. Will Davila's attorneys sue FINRA for daring to report his previous complaint?

We also submitted an IRS investigation in March 2013 with the fraudulent taxes which were prepared and filed to coverup the embezzlement and money laundering.

We need government help. The bad guys are winning because it's pretty hard to chase a horse thief after they've stolen your horse. See www.HowToStealAnAnnuity.com (no login required-built for CFTC elder financial abuse facebook page) for how to easily steal from the elderly and not get caught. We do not know what part Davila played, only that his name as "CPA" is all over the documents, and the other person involved has already confessed, even told how she laundered the money thru Western Union.

We can't last much longer without "the Calvary" arriving. Please help. At 66 years old on social security only now, due to the embezzlement of over 1 million dollars in retirement annuities, under the watch of a CPA, PFS, CRC "forensic accountant", it's a very lopsided battle against a CPA with legal insurance and 2 attorneys and Mutual of Omaha's legal department. There appears to be no government regulation whatsoever. We cannot even get anyone to read anything on the website, or even look at the DPOA that has the son's name spelled wrong.

We will give you the login information to the evidence website upon hearing back from the SEC, so that it is not included in the complaint sent to Davila.

legal actions taken question:

In Sept 2012, our attorney filed lawsuit against Sylvia Hamilton the caretaker who confessed to embezzling and money laundering the money. Then in April 2013, our attorney filed another lawsuit against the CPA, Davila. We had thought we were doing mediation with FINRA and TDI but apparently they don't do anything.